

**STATE OF OHIO**  
**EMERGENCY OPERATIONS PLAN**



**EMERGENCY SUPPORT FUNCTION #13**

**LAW ENFORCEMENT**

**Tab A – PRISON/CORRECTION**  
**FACILITY SUPPORT PLAN**

**FACILITATING AGENCY**

Ohio State Highway Patrol

**OHIO EMERGENCY OPERATIONS PLAN  
EMERGENCY SUPPORT FUNCTION #13 – LAW ENFORCEMENT**

**TAB B – CORRECTIONS FACILITY/PRISON SUPPORT PLAN**

<b>LEAD AGENCY</b>	Ohio Department of Rehabilitation and Corrections (ODRC)
<b>STATE-LEVEL SUPPORT AGENCIES</b>	Ohio Department of Youth Services (DYS) Ohio Department of Transportation (ODOT) Ohio Department of Natural Resources (ODNR) Ohio State Highway Patrol (OSHP) Ohio Emergency Management Agency (Ohio EMA) Adjutant General’s Department, Ohio National Guard (OHNG) American Red Cross (ARC) The Salvation Army (TSA)
<b>FEDERAL-LEVEL SUPPORT AGENCIES</b>	Federal Aviation Administration (FAA) Federal Bureau of Investigation (FBI) Federal Bureau of Alcohol, Tobacco and Firearms (ATF)

**I. INTRODUCTION**

A. Purpose

1. This Tab establishes a concept of operations and assignments of responsibility for correctional institution and prison support and response activities from state- and federal-level agencies in response to ODRC facilities’ emergencies and critical incidents.

**II. SITUATION**

- A. This Tab provides the outline for the acquisition of resources and the assistance of State and Federal Agencies in response to an emergency of Critical Incident at an ORDC facility or operation. This plan also covers supportive response to the Federal Correctional Institution (FCI) in Elkton, Ohio, a low security facility located in central Columbiana County; and to Ohio’s two private prisons – the Lake Erie Correctional Institution (facility owned and operated by a private company) and the North Central Correctional Complex (buildings owned by ODRC, but managed by a private company), both in northeast Ohio.

- B. Related to the services and support of the American Red Cross and the Salvation Army, this annex only defines support to state-owned facilities.
- C. Critical Incidents that could impact an ODRC Facility or operation and might necessitate the activation of this plan could include:
 

1. Inmate disturbance	6. Fire
2. Escape	7. Evacuation
3. Hostage situation	8. Utility facilities failure
4. Bomb threat	9. Employee job action
5. Natural/manmade disaster	10. Active aggressor
- C. This Plan supports planning for preparedness, response and recovery activities for state agency support when a prison or other ODRC facility requests resources and/or support.
- D. The Director of ODRC will designate an individual to coordinate assistance from the Federal Bureau of Alcohol, Tobacco and Firearms (ATF) in response to a Critical Incident. This individual will coordinate all security and any other needs of the agency, which may include, but not be limited to: specific security issues, access to facilities, and protection of equipment and personnel. By ODRC policy, an Institution's Incident Commander has been delegated authority to manage a Critical Incident.
- E. Where there is active involvement of Statewide Support Agencies, it will be likely that a Unified Command structure will be established and through the Central Office Incident Operations Center (COIOC), a command post for area command.

### III. CONCEPT OF OPERATIONS

#### A. Overview

1. ODRC will coordinate their resource requests and provision of services to local jurisdictions through the State Emergency Operations Center (State EOC).
2. ODRC institutions and/or facilities that are involved in emergency response will be responsible for the employment of internal communications, resources and facilities.
3. ODRC will be responsible for the maintenance of command structures, and the tracking of deployed personnel and resources.
4. During an emergency or a Critical Incident response, ODRC may engage in the following response and recovery activities:

- a. Maintaining control and protection of offenders within legal authority.
  - b. Protecting of staff during emergency events.
  - c. Protection of the public and surrounding communities and local infrastructure from riots and escapees.
  - d. Assisting in the dissemination of alerts, warnings and notifications.
  - e. Coordinating ODRC activities and support from local EOCs and command centers as needed to request or manage resources and personnel. For ODRC, this coordination will be managed through the State EOC.
  - f. Supporting the relocation and temporary housing of offenders confined to institutions.
  - g. Furnishing status reports on casualty and damage observations to the State EOC on a timely basis.
  - h. Maintaining and protecting logs, records, digests and reports essential to government and emergency operations.
  - i. Assisting in the provision of institutional support in a phased return to normal operations of affected areas.
  - j. Phasing-down operations to include a return to normal operations, shifts and hours.
  - k. Supporting recovery operations at the site of the disaster.
5. ODRC may coordinate with and support the Department of Youth Services (DYS) in maintaining a safe and secure environment for staff and youth offenders housed in DHS facilities by:
- a. Providing specialty trained staff to supplement or support facility security.
  - b. Providing additional transportation support.
  - c. Providing temporary secure housing for Youth offenders.
- B. ODRC will designate an individual to coordinate FAA, FBI, ODOT, Ohio EMA and OHNG assistance in response to a Critical Incident.
- C. ODRC, the ODRC Central Office Incident Operations Center (COIOC), the Institution Incident Commander and the prison Incident Command Organization will work closely with the FAA to coordinate their response.

D. The ODRC's Public Information Office will coordinate information releases to the public and to the media with the State EOC Joint Information Center (JIC).

E. The FAA public information officer will work as part of the State EOC JIC.

F. The ODRC Director will designate an individual to coordinate OSHP assistance and needs in response to a Critical Incident. This individual will coordinate all security and any other needs of the agency, which may include, but not be limited to: specific security issues, access to facilities, and protection of equipment and personnel.

G. Ohio State Highway Patrol (OSHP) Operations

1. ODRC will work with OSHP to identify and arrange necessary utility connections and other support services to facilitate the use of the OSHP resources.
2. In the event of a prison riot or other civil disorder, OSHP will enforce criminal laws in the facility affected by the riot/disturbance.
3. In the event an incident expands outside of ODRC property, and when conditions indicate that local authorities are unable to contain the situation, the Governor may order the OSHP to enforce criminal laws in an area affected by the riot/disturbance.
4. Through the superintendent, and individual troopers, the Governor may designate such support until the termination of the emergency. (ORC 5503.02)
5. In the event of a Critical Incident in a facility managed by ODRC, the local OSHP post will be contacted by the impacted ODRC facility.
6. If an incident expands beyond the capabilities of the prison, the ODRC (COIOC) may be activated. Once activated, ODRC personnel assigned to the COIOC will be responsible for coordinating the OSHP response to the incident.
7. Requests for assistance by the OSHP to ODRC will be coordinated through the State EOC.
8. OSHP Response to Ohio Department of Rehabilitation and Correction Facilities by District Post is enumerated in Attachment 1 to this plan, below.

H. Primary assistance provided between the Ohio Department of Youth Services (DYS) and ODRC

1. Hostage Negotiation Teams (HNT)
  - a. Hostage Negotiation Teams are regional teams comprised of hostage negotiators who respond to and negotiate a resolution to hostage situations. Staff from DYS and DRC currently form unified, Regional Hostage Negotiation Teams, where

employees from both agencies are assigned to Hostage Negotiation Teams based on the geographic location of the site in which each staff member works. Members of the Hostage Negotiation Teams from both agencies are certified as Hostage Negotiators through the ODRC Hostage Negotiation Training Program.

- b. When any of the Regional Hostage Negotiation Teams are activated, each member of that Regional Team will be expected to respond as assigned.
  - c. Either the Deputy Director of Facility Support or the Bureau Chief of Security Operations for DYS or a ODRC Warden can activate the regional Hostage Negotiation Team.
  - d. If additional assistance from other Regional Hostage Negotiation Teams is needed, regardless of whether the site is managed by DYS or ODRC, the request for additional assistance shall be communicated to the other agency. The request from a DYS facility shall be through the Deputy Director of Facility Support or the Bureau Chief of Security Operations and approved by the Director or Assistant Director. The communication from ODRC needs to be to the appropriate Regional Director's Office.
2. Special Response Team (SRT)
- a. A Department of Rehabilitation and Correction institution team trained to respond to a Critical Incident using special tactical and control techniques to resolve the situation and support special security operations necessary to manage disruptive inmates or disruptive youth.
  - b. Should any DYS site need the services of SRT, the following will occur:
    - i. A request for assistance shall be communicated from the facility Superintendent or designee to the Deputy Director of Facility Support or the Bureau Chief of Security Operations.
    - ii. The Deputy Director or Bureau Chief of DYS will then notify the Appropriate Regional Director of DRC.
    - iii. The Deputy Director or Bureau Chief of DYS and the appropriate Regional Director of ODRC shall then notify the facility superintendent and warden of the approval of services.
    - iv. The Deputy Director or Bureau Chief of DYS and the appropriate Regional Director of DRC shall then notify the Director of DYS and the Director of ODRC.

### 3. Special Tactics and Response Team (STAR)

- a. A Department of Rehabilitation and Correction regional team trained to respond to a Critical Incident using special tactical techniques to resolve the situation and support Special Security Operations to manage disruptive inmates or youth.
- b. Should any DYS site need the services of ODRC's STAR team, the following will occur:
  - i. A request for assistance shall be communicated from the facility Superintendent or designee to the Deputy Director of Facility Support or the Bureau Chief of Security Operations.
  - ii. The Deputy Director or Bureau Chief of DYS will then notify the Appropriate Regional Director of DRC.
  - iii. The Deputy Director or Bureau Chief of DYS and the appropriate Regional Director of ODRC shall then notify the facility superintendent and warden of the approval of services.
  - iv. The Deputy Director or Bureau Chief of DYS and the appropriate Regional Director of DRC shall then notify the Director of DYS and the Director of ODRC

### 4. Security Staff Response

- a. Either agency may need the immediate services of additional security personnel. Those personnel should have the basic skills in fundamental security practices such as: conducting population counts, conducting area and youth or inmate searches, handcuffing youth or inmates, transporting youth or inmates, conducting perimeter security and be familiar with the Critical Incident Management (CIM) system.
- b. Should any DYS site identify an immediate need for these services, the following will occur:
  - i. A request for assistance shall be communicated from the facility Superintendent or designee to the Deputy Director of Facility Support or the Bureau Chief of Security Operations.
  - ii. The Deputy Director or Bureau Chief of DYS will then notify the Appropriate Regional Director of DRC.
  - iii. The Deputy Director or Bureau Chief of DYS and the appropriate Regional Director of ODRC shall then notify the facility superintendent and warden of the approval of services.
  - iv. The Deputy Director or Bureau Chief of DYS and the appropriate Regional Director of DRC shall then notify the Director of DYS and the Director of ODRC.

5. General Personnel, Equipment, or Supplies
  - a. Either DYS or ODRC may need the services of additional personnel, equipment, or supplies which may be obtained from a site managed by the other agency.
  - b. Should either DYS or ODRC need personnel, equipment, or supplies from the other, the request for assistance will be communicated to the Deputy Director or Bureau Chief of DYS and to the appropriate Regional Director of ODRC.

#### I. Sheltering and Mass Care Services and Support

1. The American Red Cross and The Salvation Army may provide, as able, short-term sheltering, emergency first aid, feeding, and other mass care support services at an ODRC onsite location, or at a nearby, offsite location, for ODRC and other emergency response personnel until arrangements for state supported services can be made. Sheltering and/or feeding may also be provided for community residents evacuated as a result of the incident.
2. The American Red Cross may, if requested and available, provide cots and/or blankets for temporary offender relocation housing, but will not provide any staffing or management for such offender related facilities.

### **IV. ASSIGNMENT OF RESPONSIBILITIES**

A. For all support agencies to this plan, the Assignments of Responsibility that are outlined below will be fulfilled dependent on the availability of staff and material resources.

#### B. Federal Aviation Administration (FAA)

1. Track aircraft and aircraft communications in the event of an aircraft escape or attempt.
2. Establish flight restrictions in the air space over prisons.

#### C. Federal Bureau of Investigation (FBI)

1. Provide technical assistance and intelligence gathering devices and technology for Command Post Operations.
2. Provide other assistance and resources as available.

D. Federal Bureau of Alcohol, Tobacco and Firearms (ATF)

1. Provide assistance in response to incidents of the illegal use and trafficking of firearms, the illegal use and storage of explosives, acts of arson and bombings, acts of terrorism, and the illegal diversion of alcohol and tobacco products.

E. Adjutant General's Department, Ohio National Guard (OHNG)

1. Transportation

- a. Provide air and ground transportation assets for evacuation and transportation of personnel, supplies, equipment, and other resources.
- b. Assist in debris management operations including the provision of line-haul assets, engineer assets, and personnel for debris removal and transportation.
- c. Provide resources for individual and small group evacuation and transport including: 1. Buses and qualified drivers for mass evacuation and transport, 2. Ambulances for medical evacuation and transport, 3. Aviation resources (helicopter) for individual and small group evacuation, and 4. Air ambulance (helicopter) for medical evacuation and transport.
- d. Provide transportation supplies, equipment, and resources for the transport of bulk materials and goods.
- e. Provide damage repair resources and equipment including engineer assets and equipment to temporarily restore/repair infrastructure (roads, culverts, barriers).
- f. Provide line-haul assets for the moving of equipment and supplies.

2. Communications

- a. Deploy communication resources for assigned emergency missions.
- b. Assist in the provision and setup of emergency communications equipment, including satellite systems and portable telephone systems.

3. Public Works and Engineering

- a. Provide general and unique engineering services such as debris hauling, emergency road repairs, and emergency erosion control and demolitions (ESF3).

- b. Assist in demolition and/or emergency repairs or stabilization of unsafe public structures.
  - c. Assist in the delivery of potable water.
4. Medical Services
- a. Provide delivery of medical supplies.
  - b. Support local EMS teams and health care operations by providing medical services and equipment.
5. Law Enforcement
- a. Provide resources for: security of critical facilities to guard against criminal activity, road blocks and traffic control, mobile security/station security, search and rescue (SAR) actions, and evacuation assistance.
  - b. Serve as a secondary response team for local and state law enforcement officers should the situation worsen and warrant intervention during a prison riot situation. OHNG may also provide guards at the prison entrances, inmate intake entrances (sally ports), and at designated sites within the cell blocks if needed.
  - c. Provide street mission-capable units for riot control.

F. Ohio Department of Transportation (ODOT)

- 1. As authorized, provide personnel resources to act as operators for requested equipment.
- 2. Provide traffic control devices and technical assistance
- 3. Provide engineering technical assistance and other transportation-related support.
- 4. Where local ODOT sites are in close proximity to a facility, provide, as able, access to use of ODOT sites for use as a staging area.

G. Ohio Emergency Management Agency (OEMA)

- 1. Provide communication equipment, as available, to include: encrypted radios and a portable satellite telephone system with dish up-link and down-link capabilities.

2. Provide, as able, access to programmable communications equipment capable of communicating with ODRC facilities.

#### H. Ohio State Highway Patrol (OSHP)

1. Provide an initial response to a prison incident from local OSHP Post(s); and if additional resources are needed, provide, as able, assistance from the District and adjacent Districts. Response will be determined based on the incident and the need as identified by the institution Incident Commander and the local Post Commander.
2. Coordinate with ODRC in the evacuation and transfer of the penal inmate populations.
3. Provide law enforcement support (to include security) for state facilities, institutions, services, officials, and resources.
4. Assist in evacuation support for institutional persons.
5. Provide a Mobile Command/Communication Vehicle and aviation support to include helicopter and fixed wing aircraft.
6. Provide communications devices, including a hostage drop telephone and intelligence gathering devices.

#### I. Ohio Department of Youth Services (DYS)

1. Provide security personnel to assist with conducting population counts, conducting area and offender searches, handcuffing and securing inmates, transporting inmates and conducting perimeter security measures.

#### J. American Red Cross

1. Provide, as able, short-term sheltering, emergency first aid, feeding, and other mass care support services at an ODRC onsite location, or at a nearby, offsite location, for ODRC and other emergency response personnel until arrangements for state supported services can be made. Sheltering and/or feeding may also be provided for community residents evacuated as a result of the incident.
2. The American Red Cross may, if requested and available, provide cots and/or blankets for temporary offender relocation housing, but will not provide any staffing or management for such offender related facilities.

K. The Salvation Army

1. Provide, as able, feeding, and other mass care support services at an ODRC onsite location, or at a nearby, offsite location, for ODRC and other emergency response personnel.

## **ATTACHMENT 1**

### **Ohio State Highway Patrol (OSHP) Response to Ohio Department of Rehabilitation and Correction Facilities by District Post**

#### **A. OSHP District 1**

1. Lima Post - Allen-Oakwood Correctional Institution
2. Toledo Post - Toledo Correctional Institution

#### **B. OSHP District 2**

1. Mansfield Post - Mansfield Correctional Institution, Richland Correctional Institution
2. Marion Post - Marion Correctional Institution, North Central Correctional Complex

#### **C. OSHP District 3**

1. Elyria Post - Grafton Correctional Institution, Lorain Correctional Institution
2. Correctional Treatment Facility
3. Cleveland Operations - Northeast Reintegration Center
4. Cleveland Operations - Northeast Pre-Release Center

#### **D. OSHP District 4**

1. Warren Post - Trumbull Correctional Institution
2. Canfield Post - Ohio State Penitentiary
3. Ashtabula Post - Lake Erie Correctional Institution

#### **E. OSHP District 5**

1. Dayton Post - Dayton Correctional Institution
2. Marysville Post - Ohio Reformatory for Women

F. OSHP District 6

1. West Jefferson Post - London Correctional Institution, Madison Correctional Institution
2. Office of Investigative Services – Franklin Medical Center
3. Circleville Post - Correctional Reception Center, Pickaway Correctional Institution
4. Corrections Training Academy
5. Lancaster Post - Southeastern Correctional Complex

G. OSHP District 7

1. St. Clairsville Post - Belmont Correctional Institution
2. Cambridge Post - Noble Correctional Institution

H. OSHP District 8

1. Lebanon Post - Lebanon Correctional Institution, Warren Correctional Institution

I. OSHP District 9

1. Chillicothe Post - Chillicothe Correctional Institution, Ross Correctional Institution
2. Portsmouth Post - Southern Ohio Correctional Facility
3. Athens Post - Hocking Correctional Facility